

Docket No. CITI0109-US

sector 1/1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of:

Alan SLATER, et al. (as amended)

Serial No.: 09/348,529

Filed: July 7, 1999



Group Art Unit: 2761

Examiner: To Be Assigned

For: SYSTEM AND METHOD FOR IMAGE  
DEPOSITING, IMAGE PRESENTMENT AND  
DEPOSIT TAKING IN A COMMERCIAL  
ENVIRONMENT

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application -- Filing Date Granted  
mailed August 3, 1999, the following items are submitted herewith to complete the formal  
filing requirements for the subject application:

- (1) A Declaration/Power of Attorney for this patent application executed by the inventors;
- (2) Recordation Cover Sheet and Assignment forms;
- (3) Checks in the amount of \$130.00 and \$40.00 for: (1) surcharge fee of \$130.00 pursuant to 37 CFR § 1.16(e); and (2) \$40.00 for assignment recordation fee pursuant to 37 CFR § 1.21(h)
- (4) A copy of the Notice to File Missing Parts of Application - Filing Date Granted mailed on August 3, 1999.

Serial No. 09/348,529  
Art Group: 2761

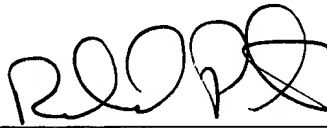
- 2 -

Docket No. CITI0109-US

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same to the Deposit Account no. 11-0855. A copy of this correspondence is provided for this purpose.

Respectfully submitted,

Date: Sept. 30, 1999  
KILPATRICK STOCKTON LLP  
700 13th Street, N.W., Suite 800  
Washington, D.C. 20005  
(202) 508-5800  
63820.1

 Reg. No  
35,320  
George T. Marcou  
Registration No. 33,014

6-TM/A

msg P. 5  
10.3.99

4.3.99-26



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/348,529	07/07/99	SEARS	M

GEORGE T MARCOU  
KILPATRICK STOCKTON LLP  
SUITE 800  
700 13TH STREET NW  
WASHINGTON DC 20005

0242/0803



NOT ASSIGNED

2761

DATE MAILED:

08/03/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

George T Marcou

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY

10/201/1999 HKMARR 00000144 09348529 150.00 OP

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1998-448-824

**FILE COPY**

Receipt #

Docket No. CITI0109-US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the U.S. Application of:

Alan SLATER, et al. (as amended)

Serial No.: 09/348,529

Filed: July 7, 1999



Group Art Unit: 2761

Examiner: To Be Assigned

13

For: SYSTEM AND METHOD FOR IMAGE  
DEPOSITING, IMAGE PRESENTMENT AND  
DEPOSIT TAKING IN A COMMERCIAL  
ENVIRONMENT

RECEIVED  
JAN - 5 2000  
C 2700 MAIL ROOM

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

It is respectfully requested that a corrected Official Filing Receipt be issued in connection with the above-identified application, to correct the ORDER of the listing of the inventors, as follows:

-- Alan SLATER, East Brunswick, NJ; Michael L. SEARS, Torrance, CA;  
Phoebe Rin-Rin HSU, Northridge, CA; Cuong D. DO, Reseda, CA; H. Patrick  
McSHARRY, Los Angeles, CA; Edward M.R. DUDASIK, West Hills, CA; Stephen M.  
GRYTE, Los Angeles, CA; Robert O. BROOKS, Los Angeles, CA.--

It is also respectfully requested that the Attorney's Docket Number --CITI0109-US-- be reflected in the corrected Official Filing Receipt.

A copy of the original Official Filing Receipt is enclosed for your convenience. A corrected Official Filing Receipt is warranted to maintain an accurate record in the Office file of the above-identified application.

10/01/1999 SARAYA 00000153 09348529

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25.00 OP

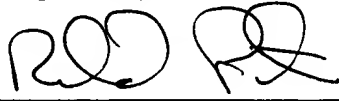
Serial No. 09/348,529  
Art Group: 2761

Docket No. CITI0109-US

A check in the amount of \$25.00 is hereby enclosed for the fee in accordance to 37 CFR 1.19(h). Should any additional fee be required, please charge Deposit Account No. 11-0855. A duplicate copy of this correspondence is provided for this purpose.

Respectfully submitted,

Date: SEPT. 30, 1999  
KILPATRICK STOCKTON LLP  
700 13th Street, N.W., Suite 800  
Washington, D.C. 20005  
(202) 508-5846  
63841.1

  
George T. Marcou  
Registration No. 33,014

REG. NO.  
35,320

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/348,529	07/07/99	2761	\$1,102.00	CIT/0109	11	39	2

GEORGE T MARCOU  
KILPATRICK STOCKTON LLP  
SUITE 800  
700 13TH STREET NW  
WASHINGTON DC 20005



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) MICHAEL L. SEARS, TORRANCE, CA; ALAN SLATER,  
EAST BRUNSWICK, NJ; PHOEBE RIN-RIN HSU, NORTHRIDGE, CA;  
CUONG D. DO, RESEDA, CA; H. PATRICK MCHARRY, LOS ANGELES,  
CA; EDWARD M.R. DUDASIK, WEST HILLS, CA; STEPHEN M. GRYTE,  
LOS ANGELES, CA; ROBERT O. BROOKS, LOS ANGELES, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

PROVISIONAL APPLICATION NO. 60/092,486 07/07/98  
PROVISIONAL APPLICATION NO. 60/092,487 07/07/98

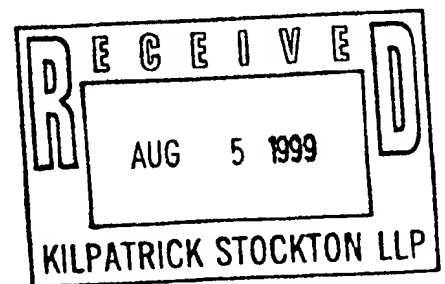
IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/29/99

TITLE

SYSTEM AND METHOD FOR IMAGE DEPOSITING, IMAGE PRESENTMENT AND DEPOSIT  
TAKING IN A COMMERCIAL ENVIRONMENT

PRELIMINARY CLASS: 705

RECEIVED  
JAN -5 2000  
2700 MAIL ROOM



DATA ENTRY BY: MONROE, BEULAH

TEAM: 04 DATE: 07/29/99



(See reverse for new important information)